



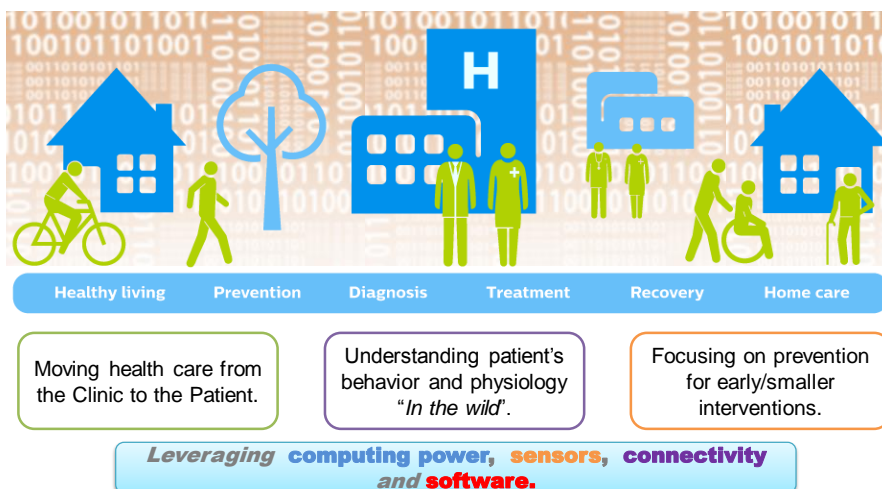
# Digital Health

## A PRAGMATIC REGULATORY APPROACH

**BAKUL PATEL**  
 ASSOCIATE DIRECTOR FOR DIGITAL HEALTH

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### Digitization Across the Health Care Continuum



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- Enable “**patient centered**” public health as digitization touches every aspect of health care.
- Foster **trust in innovative technologies** as an enabler of a new health care paradigm.
- **Partner with customers** to be “digital-future ready”.

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## Types of Medical Device Software



Software in a device



Software as a Medical device (SaMD)



Software used in the manufacturing process of a device

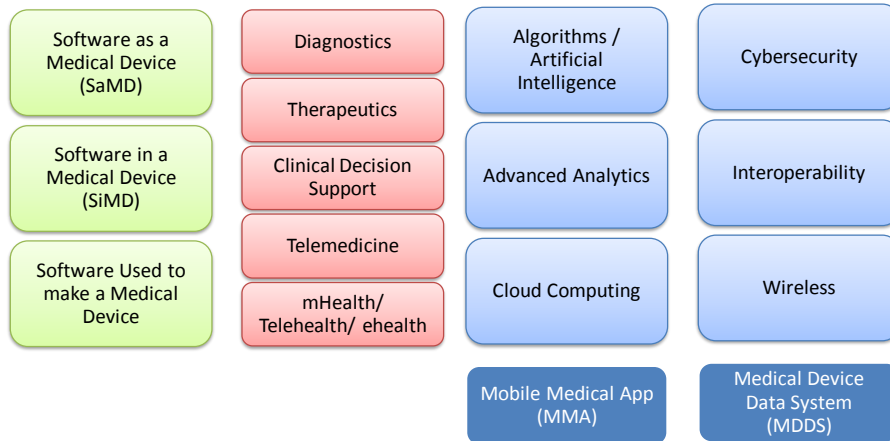


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## Digital Health Functionality, Technology, and Issues



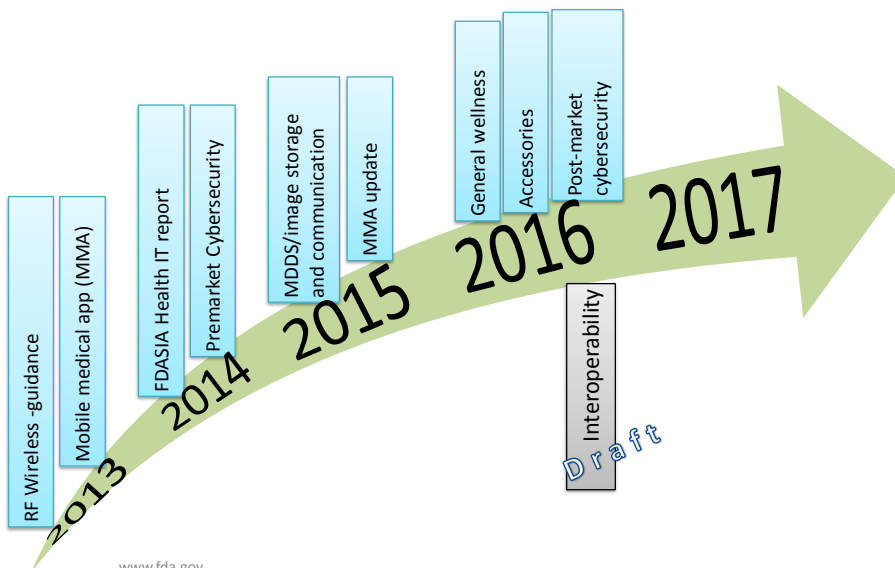
### Software, Sensors, Technology and Connectivity



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## Digital Health Foundational Policies



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# Focusing on Higher Risk Functionality



## Lower Risk Functionality

May not always enforce regulatory requirements

Lower risks are not likely to exceed the limits of exemption (\$886.9)



## Higher Risk Functionality

Intend to assure patient safety while encouraging advances in innovative technology and product life-cycle

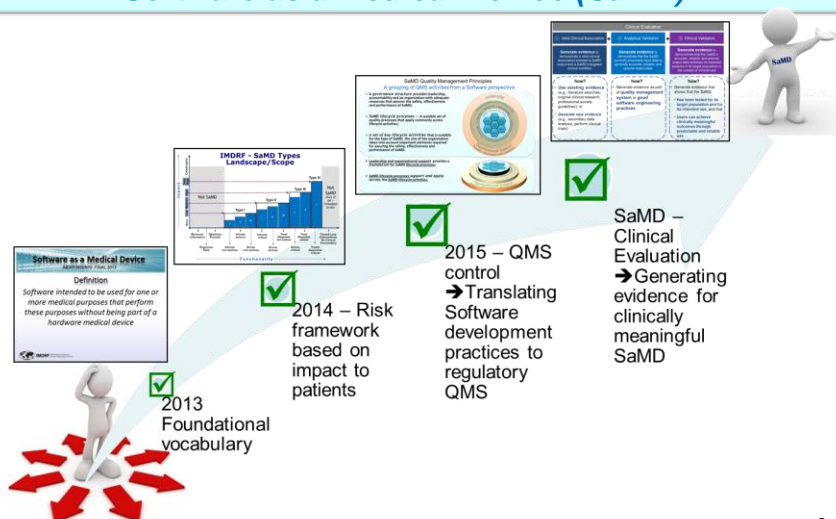
- De Novo
- 510(k)
- PMA

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# IMDRF International Medical Device Regulators Forum

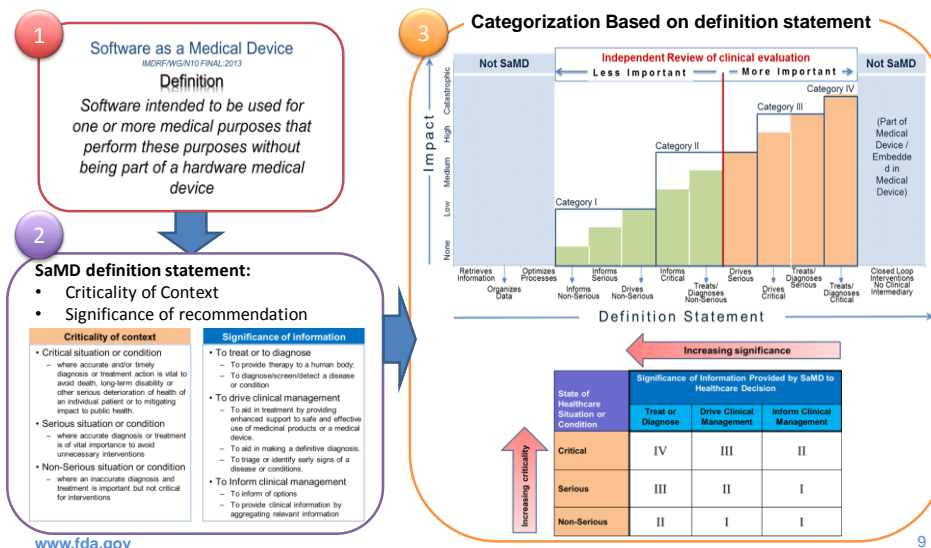
Global Convergence effort for *Software as a Medical Device (SaMD)*



Ottawa, September 2017

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## A Converged Framework and Principles for (SaMD)



## 21 Century Cures Act – Codifies FDA Policies

Amended the definition of “device” in the Food, Drug and Cosmetic Act to exclude certain software functions intended...

FDA Policies affected/codified

**(A)** for administrative support;

**FDASIA Categories of Health IT**  
Administrative Functionality

**(B)** for maintaining or encouraging a healthy lifestyle;

FDA Policy for Low risk general wellness products

**(C)** to serve as a electronic patient records;

**FDASIA Categories of Health IT**  
Health Management functionality

**(E)** to provide recommendations to health care professionals for clinical decisions, where the user can independently review the basis of the recommendation.

Policy for Clinical Decision Support Software included in Health Management functionality

**(D)** for transferring, storing, converting formats, or displaying clinical laboratory test or other device data and results and certain other related information;

Medical Device Data System (MDDS)

# Rapidly Evolving Situation



Current Regulatory Paradigm	Unique Aspects of Digital Health
Premarket timeline suited for hardware based products	software development timelines + software development practices + rapid iterations
Deterministic risks, known responsibilities, physical products	Emerging issues – (cybersecurity; distributed responsibilities, non-physical products)
Current program volume – 3,500 510(k) submissions / 2200 pre-submissions	Potential for exponential increase in volume of submissions



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# An Opportunity to Foster Digital Health Innovation and Further Public Health



**Considering current FD&C act authorities and implementing regulations**

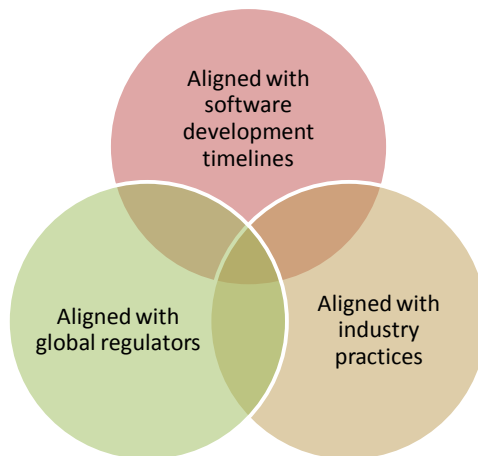
Unique aspects of Digital Health
software development timelines + software development practices + rapid iterations
Emerging issues – (cybersecurity; distributed responsibilities, non-physical products)
Support (review and consults) for increasing volume of submissions

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## CDRH: Envisioning a New DH Paradigm



An agile and learning regulatory paradigm that Focused on Higher Risk Products and is:



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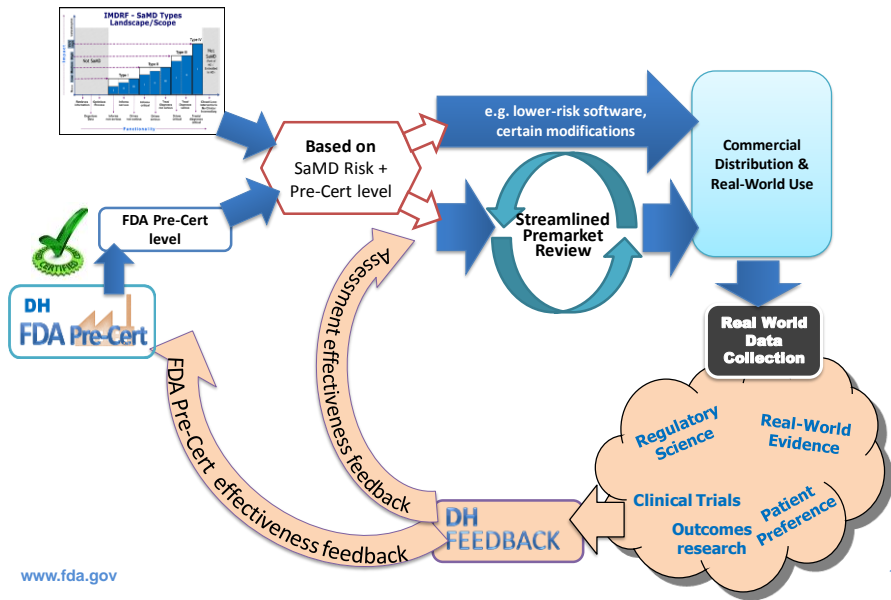


## FDA Pre-Cert Pilot Overview

*A company-based, streamlined regulatory approach for Software as a Medical Device that relies on a demonstrated Culture of Quality and Organizational Excellence*

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# FDA Pre-Cert Concept



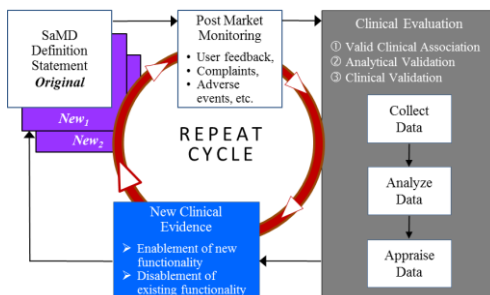
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## IMDRF Clinical Evaluation Framework

A Pathway for Continuous Learning Leveraging Real World Performance Data

SaMD manufacturers are encouraged to leverage SaMD's technology capability to capture real world performance data to understand user interactions with the SaMD, and conduct ongoing monitoring of analytical and technical performance to support future intended uses.



### Suggested steps

1. Additional clinical data is gathered.
2. The data may create and support new intended use(s).
3. The SaMD manufacturer will update the clinical evaluation and generate a new definition statement.
4. Cycle repeats for future iterations.

Ottawa, September 2017

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# Excellence Principles



- Patient Safety

Demonstration of a commitment to providing a safe patient experience, and to emphasizing patient safety as a critical factor in all decision-making processes.
- Product Quality

Demonstration of a commitment to the development, testing, and maintenance necessary to deliver SaMD products at the highest level of quality.
- Clinical Responsibility

Demonstration of a commitment to responsibly conduct clinical evaluation and to ensure that patient-centric issues including labeling and human factors are appropriately addressed.
- Cybersecurity Responsibility

Demonstration of a commitment to protect cybersecurity, and to proactively address cybersecurity issues through active engagement with stakeholders and peers.
- Proactive Culture

Demonstration of a commitment to a proactive approach to surveillance, assessment of user needs, and continuous learning.

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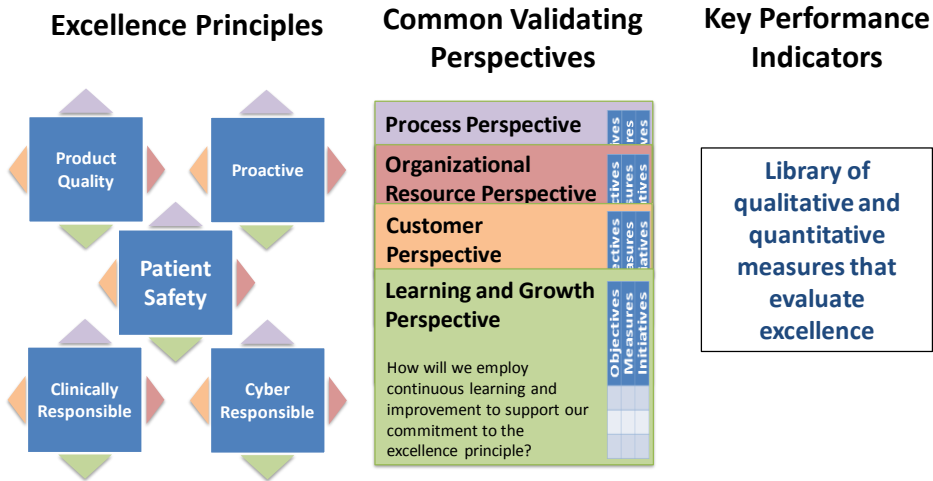
## Company Specific – *Common Validating Perspectives*



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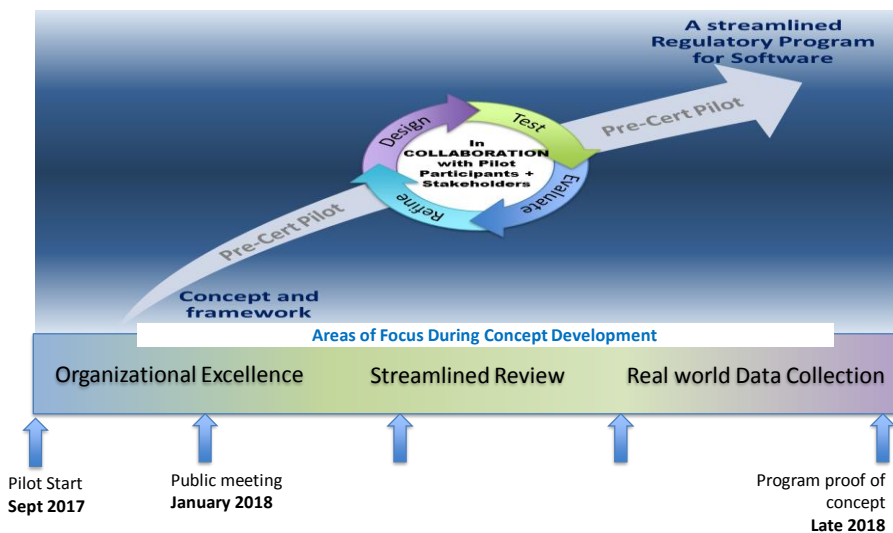
# Scorecard Framework



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## From Concept to A Program: An Iterative Approach



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